



PATENT: Attorney Docket No. 9707.0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Hideyuki Okano et al.	Group Art Unit: Unassigned	
Application No.: 10/571,277) Examiner: Unassigned	
Filed: March 9, 2006))	
For: METHODS FOR ENHANCING SURVIVAL AND/OR PROLIFERATION OF NEURAL STEM CELLS AND NEURITE EXTENSION, ENHANCERS THEREFOR, PHARMACEUTICAL COMPOSITIONS CONTAINING NEURAL STEM CELLS, ASSAY METHODS	Confirmation No.: Unassigned)))))))))))	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents,

an English language version of a search report from the European Patent Office in a

corresponding application citing these documents and setting forth the relevance

thereof is enclosed.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and applicants determine

that the cited documents do not constitute "prior art" under United States law, applicants

reserve the right to present to the office the relevant facts and law regarding the

appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: November 16, 2006

David W. Hill

Reg. No. 28,220

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IDS Form PTO/S	B/08: Substitute for for	m 1449A/PTO	À	T.	C	omplete if Known	
103 F01111 F 10/3	IDS Form PTO/SB/08: Substitute for form 1449A/PTO			Appli	cation Number	10/571,277	
l INE		NSCI OSI	IRE MADE	Filing	Date	March 9, 2006	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First	Named Inventor	Hideyuki Okano	
				Art U	nit	Unassigned	
	(Use as many sheets	as necessary)		Exan	niner Name	Unassigned	
Sheet	1	of	1	Attor	ney Docket Number	9707.0009	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		EP 1 122 311 A1	08/08/2001	H. Horie		Yes
		EP 1 302 533 A1	04/16/2003	Y. Sasai et al.		Yes
		WO 02/099102 A1		A. Tokunaga et al.		English Abstract

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶			
		Brent Reynolds et al., "GENERATION OF NEURONS AND ASTROCYTES FROM ISOLATED CELLS OF THE ADULT MAMMALIAN CENTRAL NERVOUS SYSTEM", Science, American Association for the Advancement of Science, Vol. 255, No. 5052, March 1992, pp. 1707-1710.				
		Stefano Pluchino et al., "INJECTION OF ADULT NEUROSPHERES INDUCES RECOVERY IN A CHRONIC MODEL OF MULTIPLE SCLEROSIS", Nature Publishing Group, Vol. 422, April 2003, pp. 688-694.				
		Kimberly Walsh et al., "HUMAN CENTRAL NERVOUS SYSTEM TISSUE CULTURE: A HISTORICAL REVIEW AND EXAMINATION OF RECENT ADVANCES", Neurobiology of Disease, Vol. 18, No. 1, February 2005, pp. 2-18.				

Examiner	Date Considered
Signature	Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.